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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/766,723

01/28/2004

Steven O. Markel

INTE.32USU1 (ITC36)

**CONFIRMATION NO. 3449** 

Date Mailed: 06/21/2004

**FORMALITIES LETTER** 

\*OC00000013000750\*

27479 **COCHRAN FREUND & YOUNG LLC** 3555 STANFORD ROAD **SUITE 230** FORT COLLINS, CO 80525

10/21/2004 SSESHE1 00000068 031952 10766723

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130.00 DA

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny. and durable (see 37 CFR 1.84(e)). See Figure(s) 1-7.

#### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

OTPE CONTRACTOR

PTO/SB/17 (10-04)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known **FEE TRANSMITTAL** 10/766,723 Application Number January 27, 2004 for FY 2005 Filing Date Steven O. MARKEL First Named Inventor Effective 10/01/2004. Patent fees are subject to annual revision. **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 2176 Art Unit TOTAL AMOUNT OF PAYMENT 577172001900 (\$) 560.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Credit Money 3. ADDITIONAL FEES Check Othe None Order Deposit Account: Х Large Entity **Small Entity** 03-1952 Fee Fee Fee Account Fee Description Code Code (\$) (\$) Fee Paid Number Deposit 1051 130 2051 65 Surcharge - late filing fee or oath 130.00 Morrison & Foerster LLP Account Surcharge - late provisional filing fee or cover 1052 50 2052 25 The Director is authorized to: (check all that apply) sheet. X Credit any overpayments Charge fee(s) indicated below 1053 130 1053 130 Non-English specification Charge any additional fee(s) or any underpayment of fee(s) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Requesting publication of SIR prior to 9201 1804 1804 9201 Charge fee(s) indicated below, except for the filing fee Examiner action Requesting publication of SIR after to the above-identified deposit account. 1805 1,840 1805 1.840\* Examiner action **FEE CALCULATION** 1251 110 2251 55 Extension for reply within first month 1. BASIC FILING FEE 430 Extension for reply within second month 430.00 1252 2252 215 Large Entity Small Entity 1253 980 2253 Extension for reply within third month Fee Fee Fee Fee Description Fee Paid 1254 1 530 2254 765 Extension for reply within fourth month (\$) 2001 1001 395 Utility filing fee 1255 2 080 1,040 Extension for reply within fifth month 790 2255 2002 175 1002 350 Design filing fee 1401 340 2401 Notice of Appeal Filing a brief in support of an appeal 1003 550 2003 275 Plant filing fee 1402 340 2402 790 2004 395 1004 Reissue filing fee 1403 300 2403 Request for oral hearing 1005 160 2005 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 1453 1.330 2453 665 Petition to revive - unintentional 1501 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1,370 2501 Utility issue fee (or reissue) Extra Fee from 1502 490 2502 245 Design issue fee Fee Paid Claims below **Total Claims** -20 = 18.00 0.00 1503 660 2503 330 Plant issue fee Independent -3 = 88.00 1460 130 1460 130 Petitions to the Commissioner 0.00 Claims 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Multiple Dependent 300.00 0.00 1806 180 1806 180 Submission of Information Disclosure Stmt Large Entity Small Entity Fee Recording each patent assignment per Fee Description 8021 40 8021 Code (\$) (\$) Code property (times number of properties) Filing a submission after final rejection 1202 18 2202 9 Claims in excess of 20 1809 790 2809 395 (37 ČFR 1.129(a)) 1201 88 2201 44 Independent claims in excess of 3 For each additional invention to be 1810 2810 790 395 1203 300 2203 150 Multiple dependent claim, if not paid examined (37CFR 1.129(b))

SUBMITTED BY		(Complete (if applicable))				
Name (Print/Type)	James M. Derfaro	Registration No. (Attorney/Agent)	54,063	Telephone	(703) 760-7739	
Signature	pan /			Date	October 20, 2004	

1801

1802

0.00

790

900

Other fee (specify)

2801

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\*Reduced by Basic Filing Fee Paid

395

900

Request for Continued Examination (RCE)

SUBTOTAL (3) (\$)

560.00

Request for expedited examination

of a design application

Reissue independent claims

Reissue claims in excess of 20

over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater, For Reissues, see above

and over original patent

1204

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TRANSMITTAL

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Approved for use through 10/31/02. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**Application Number** 

			Filing Date	January 27, 2004							
FORM			First Named Inventor	Steven O.	Steven O. MARKEL						
			Group Art Unit	2176							
(to be used for all correspondence after initial filing)			Examiner Name	To Be Assigned							
Total Number Of Pages In This Submission		1	Attorney Docket No. 5771720		72001900						
ENCLOSURES (check all that apply)											
×			Assignment Papers (for an Application)				After Allowance Communication to Group				
	Fee Attached		X Dra	awing(s)			Appeal Communication to Board of Appeals and Interferences				
Amendment / Reply		Lic	ensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
	After Final		Pet	tition	•		Proprietary Information				
	Affidavits/declarations			tition to Convert to a ovisional Application			Status Letter				
Extension of Time Request			wer of Attorney, Revocation ange of Correspondence Address		×	Other Enclosure(s) (please identify below):					
	Express Abandonment Request			rminal Disclaimer quest for Refund			Declaration and Power of Attorney Copy of Notice to File Missing Parts				
	Information Disclosure Statement		CD	, Number of CD(s)							
Certified Copy of Priority Document(s)		Remarks									
Response to Missing Parts/ Incomplete Application			<del></del>								
Response to Missing Parts under 37 CFR 1.52 or 1.53											
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT											
Firm James M. Dena				<del>-</del>							
or Indivi	dual Name										
Signature / N/		1/~			<u> </u>						
Date October 20,2		October 20, 2									
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are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

#### CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on October 20, 2004.

Ayisha M. Roberts

OLE TO MAN WAY

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Steven O. MARKEL

Serial No.: 10/766,723

Filing Date: January 27, 2004

For: ON-CONTENT STREAMING MEDIA

**ENHANCEMENT** 

Examiner: Unassigned

Group Art Unit: 2176

# **SUBMISSION OF FORMAL DRAWINGS**

U.S. Patent and Trademark Office 2011 South Clark Place Crystal Plaza II, Lobby, Room 1B03 Arlington, Virginia 22202

Sir:

Enclosed are eight (8) sheets of formal drawings in connection with the aboveidentified application.

Respectfully submitted,

Dated: October 20, 2004

By: Lames M. Denaro Registration No. 54,063

Morrison & Foersterus

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